

00/11/2000

J-542 U.S. PTO

Please type a plus sign (+) inside this box



PTO/SB/05 (1/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1142

First Inventor or Application Identifier Keith Rose

Title METHOD AND APPARATUS FOR RECEIVING A SUBSCRIPTION FOR A SECURITY

Express Mail Label No. EL528759378US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. Specification [Total Pages 16]

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

4. Oath or Declaration [Total Pages 4]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered to be part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents)

9. 37 C.F.R. §3.73(b) Statement (when there is an assignee) Power of Attorney

10. English Translation Document (if applicable)

11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

12. Preliminary Amendment

13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. * Small Entity Statement(s) Statement filed in prior application, Status still proper and desired (PTO/SB-09-12)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Other:

* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____

Prior application information: Examiner _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label
(Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Charles E. Gotlieb		
	Law Offices of Charles E. Gotlieb		
Address	540 University Avenue		
	Suite 300		
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Country	US	Telephone	650-328-0100
			Zip Code 94301
			650-328-2844

Name (Print/Type)	Charles E. Gotlieb	Registration No. (Attorney/Agent)	38,164
Signature			
	Date February 11, 2000		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time that you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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09/502313
02/11/00

002/PTO
Rev. 10/95U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$ 730

Complete if Known

Application Number	
Filing Date	2/11/2000
First Named Inventor	Kith Ros
Group Art Unit	
Examiner Name	
Attorney Docket Number	1142

METHOD OF PAYMENT (check one)

1 The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 07-1738

Deposit Account Name Law Offices of Charles E. Gotlieb

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17. Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2. Payment Enclosed

Check Money Order Other

FEE CALCULATION (fees effective 10/1/99)

1. FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing	690
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)				690	

2. CLAIMS

		Fee from below	Fee Paid
Total Claims	16 - 20 =	X	
Independent Claims	2 - 3 =	X	
Multiple Dependent Claims		X	

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim
109	78	209 39 Reissue Independent claims over original patent
110	18	210 9 Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

3 ADDITIONAL FEES

Large Entity	Small Entity	Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105	130	205 65 Surcharge-late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2390	147 2390 For filing a request for reexamination	
112	920	112 920 Requesting a publication of SIR prior to Examiner action *	
113	1840	113 1840 Requesting publication of SIR after Examiner action *	
115	110	215 55 Extension for response within first month	
116	380	216 190 Extension for response within second month	
117	870	217 435 Extension for response within third month	
118	1360	218 680 Extension for response within fourth month	
119	300	219 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1430	138 1430 Petition to Institute a public use proceeding	
140	110	240 55 Petition to revive unavoidably abandoned application	
141	1210	241 605 Petition to revive unintentionally abandoned application	
142	1210	242 605 Utility Issue fee (or reissue)	
143	430	243 215 Design Issue Fee	
144	580	244 290 Plant Issue Fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (x no. properties)	40
146	760	246 380 Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249 380 For each additional Invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
SUBTOTAL (3) (\$)			40

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

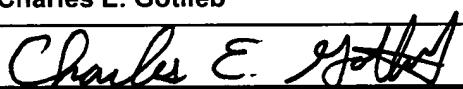
Typed or Printed Name

Charles E. Gotlieb

Complete (if applicable)

Reg. Number 38,164

Signature



Date 2/11/2000

Deposit Account User ID Charles Gotlieb

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